

Gatsby Referrals Program Terms & Conditions

The Gatsby Referrals Program (“Gatsby Referrals”) is an incentive program offered by Gatsby Digital, Inc. (“Gatsby Digital”) Gatsby Securities, LLC (“Gatsby Securities”) and their respective affiliates (collectively “Gatsby”). The program is designed to reward select users who refer friends to the platform. The Gatsby Referrals Program will only be credited to users who have an approved trading account, and who meet all the below criteria. By participating in the Gatsby Referrals Program you hereby agree to these Terms and Conditions (“Terms and Conditions”). These Terms and Conditions are effective as of April 1st, 2021.

- How it works: Gatsby clients get the bonus when they make “Qualified Referrals” of unique users to the Gatsby platform. For making Qualified Referrals, the Referrer is given up to 500 points, up to the limit specified below. It is at the sole discretion of Gatsby to determine if a referral meets the qualifying criteria herein stated.
- Gatsby clients will receive a unique referral link. Only referrals made through that unique link have the potential to qualify.
- “Qualified Referral”: A Qualified Referral is a Referral which can be verified as tied to the Referrer via the share link, and results in the Referee creating an approved and funded brokerage account at Gatsby. Signups do not result in a referral bonus.
- Referee: The Referee is the referred customer by the Referrer. The Referee must have opened an approved and funded brokerage account in order for the Referrer to get the bonus.
- Referrer: The Referrer is the party who potentially can make a Qualified Referral.
- How it’s paid out: Once it is determined by Gatsby that the Qualified Referral has taken place, Gatsby will credit the Referrer account up to 500 points.
- When it’s paid out: The Referrer can expect the points bonus to be credited within 5 business days following Gatsby determining all qualifications to receive the bonus have been met.
- How much is the Referral Bonus: The Referral Bonus is up to 500 points for each Qualified Referral; however, Gatsby reserves the right to change or cancel the Referral Bonus payout at any time, with or without notice to participants.
- The Gatsby Referrals Program is solely a means of giving value back to loyal clients. Gatsby does not provide any investment advice, and the Gatsby Referrals Program should in no way be interpreted as such. All investments involve risk and past performance of a security does not guarantee future results or returns. While diversification may help spread risk, it does not guarantee a profit or protect you against loss in a down market. There is always a potential to lose money when investing in securities, and investors need to fully consider their investment objectives prior to investing. The Gatsby Referrals Program should not be perceived as a recommendation to trade more or less frequently. Any investment decision and/or strategy that you make or utilize, whether or not

such a decision or strategy derives from or relies upon material accessed or provided through the website or Application, is done so at your sole discretion and at your own risk.

- Gatsby reserves the right to change or cancel the Gatsby Referrals Program offer at any point in time, without notice. Referral bonuses may be withheld or refused by Gatsby at its discretion. Gatsby withholds the right to reverse any Gatsby Referrals bonuses paid out at its discretion.
- The Gatsby Referrals Program bonus, when combined with all other offers or rewards earned through Gatsby, may not exceed \$500 per household per year. Before participating in the Gatsby Referrals program, please consult additional sources of information and/or your legal or tax advisor. Gatsby does not offer tax advice.
- You may not maintain multiple Gatsby Referrals accounts. If a client has multiple brokerage accounts, the accounts will be treated as if combined to determine the maximum Gatsby Referrals Program payout.
- Gatsby Referrals payments will only be considered for a unique Referee, meaning, a Referrer cannot refer the same client multiple times and receive multiple bonuses. Similarly, a Referrer cannot open multiple accounts and refer the same Referee.
- These rewards are not transferable, saleable or valid in conjunction with other offers.
- The Gatsby Referrals program is only available to select U.S. residents who have been approved to trade on Gatsby and have an open brokerage account through Gatsby. Employees, contractors, or persons similarly associated with Gatsby or a Gatsby affiliate; members of their household; and employees of any securities regulatory organization or exchange are not eligible.
- By participating in the Gatsby Referrals program, you are agreeing to these Terms and Conditions and are entering into an agreement with Gatsby.
- Options involve a high degree of risk and are not suitable for all investors as the special risks inherent to options trading may expose investors to potentially significant losses. Please read [Characteristics and Risks of Standardized Options](#) before deciding to invest in options.
- Securities and investments are offered through Gatsby Securities, LLC, member of FINRA (www.finra.org) and SIPC (www.sipc.org). Gatsby Digital, Inc. is not a broker-dealer or investment advisor. Gatsby Securities, LLC is a wholly owned subsidiary of Gatsby Digital, Inc.
- Gatsby has the sole right to interpret and apply this Gatsby Referral Program Terms and Conditions.
- The Gatsby Referrals program is provided “AS IS” and without warranties of any kind, either express or implied.
- If you have any questions, or if you would like to un-enroll in the program, contact support@trygatsby.com. It may take up to 7 business days to remove you from the Gatsby Referrals program.